

**ORTHODONTIC SERVICE  
SALZMANN EVALUATION INDEX FORM**



This form must be completed by a person with thorough clinical knowledge of the member's current clinical presentation and his/her clinical evaluation history. **Clinical documentation supporting the medical necessity of this request is required.** For more information, please refer to the medical policy document MC/B003 Orthodontic Services located at <https://www.preferredone.com/MedicalPolicy/>.

Please email this form and clinical documentation to [Intake@Preferredone.com](mailto:Intake@Preferredone.com) or fax to (763) 847-4014.

<b>Patient Name</b>		<b>PreferredOne ID #</b>		<b>DOB</b>	
<b>ICD 10 DX</b>		<b>Procedure Code(s)</b>		<b>Date of Assessment</b>	
<b>Orthodontist's First &amp; Last Name</b>				<b>NPI #</b>	
<b>Clinic Name</b>				<b>NPI #</b>	
<b>Address</b>				<b>City</b>	
<b>Phone</b>		<b>Fax</b>		<b>State</b>	<b>Zip</b>
<b>Referring Dentist's First &amp; Last Name</b>				<b>NPI #</b>	
<b>Address</b>				<b>City</b>	
<b>Phone</b>		<b>Fax</b>		<b>State</b>	<b>Zip</b>

**HANDICAPPING MALOCCLUSION ASSESSMENT RECORD**

<b>A. Intra-Arch Deviation</b>									
SCORE TEETH AFFECTED ONLY		MISSING	CROWDED	ROTATED	SPACING		NUMBER OF TEETH AFFECTED	POINT VALUE	SCORE
					OPEN	CLOSED			
MAXILLA	ANT.							X2	
	POST.							X1	
MANDIBLE	ANT.							X1	
	POST.							X1	
ANT = Anterior Teeth (4 incisors) POST = Posterior Teeth (include canine, premolars, and first molars)									<b>TOTAL SCORE</b>

<b>B. Interarch Deviation</b>							
<b>1. Anterior Segment</b>							
SCORE MAXILLARY TEETH AFFECTED ONLY EXCEPT OVERBITE*	OVERJET	OVERBITE	CROSSBITE	OPEN-BITE	NUMBER OF TEETH AFFECTED	POINT VALUE	SCORE
						X2	
*Score Maxillary or Mandibular Incisors							<b>TOTAL SCORE</b>

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<b>Patient Name</b>
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2. Posterior Segment											
SCORE AFFECTED TEETH ONLY	RELATE MANDIBULAR TO MAXILLARY TEETH				SCORE AFFECTED MAXILLARY TEETH ONLY				NUMBER OF TEETH AFFECTED	POINT VALUE	SCORE
	DISTAL		MESIAL		CROSSBITE		OPEN-BITE				
	Right	Left	Right	Left	Right	Left	Right	Left			
CANINE 1 <sup>ST</sup>										X1	
PREMOLAR 2 <sup>ND</sup>										X1	
PREMOLAR 1 <sup>ST</sup>										X1	
MOLAR										X1	
<b>TOTAL SCORE</b>											

A Salzmann Evaluation Index score of 42 points or more must be achieved to be eligible for comprehensive orthodontic treatment under the PreferredOne Orthodontic Service program	<b>GRAND TOTAL</b>	
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PLEASE COMPLETE THE FOLLOWING IN DETAIL
DESCRIPTION OF PATIENT'S CONDITION AND DIAGNOSIS:
DIAGNOSTIC PROCEDURES:
TREATMENT PLAN:
REMARKS:

## Detailed Instructions for Completing the Salzmann Index Evaluation

### Introduction

This assessment record (not an examination) is intended to disclose whether a handicapping malocclusion is present and to assess its severity according to the criteria and weights (point values) assigned to them. The weights are based on tested clinical orthodontic values from the standpoint of the effect of the malocclusion on dental health, function, and esthetics. The assessment is not directed to ascertain the presence of occlusal deviations ordinarily included in epidemiological surveys of malocclusion. Etiology, diagnosis, planning, complexity of treatment, and prognosis are not factors in this assessment.

### A. Intra-Arch Deviations

The casts are placed, teeth upward, in direct view. When the assessment is made directly in the mouth, a mouth mirror is used. The number of teeth affected is entered as indicated in the "Handicapping Malocclusion Assessment Record". The scoring can be entered later.

1. **Anterior segment:** A value of 2 points is scored for each tooth affected in the maxilla and 1 point in the mandible.
  - Missing teeth are assessed by actual count. A tooth with only the roots remaining is scored as missing.
  - Crowded refers to tooth irregularities that interrupt the continuity of the dental arch when the space is insufficient for alignment without moving other teeth in the arch. Crowded teeth may or may not also be rotated. A tooth scored as crowded is not scored also as rotated.
  - Rotated refers to tooth irregularities that interrupt the continuity of the dental arch but there is sufficient space for alignment. A tooth scored as rotated is not scored also as crowded or spaced.
  - Spacing:
    - Open spacing refers to tooth separation that exposes to view the interdental papillae on the alveolar crest. Score the number of papillae visible (not teeth).
    - Closed spacing refers to partial space closure that will not permit a tooth to complete its eruption without moving other teeth in the same arch. Score the number of teeth affected.
  
2. **Posterior segment:** A value of 1 point is scored of each tooth affected.
  - Missing teeth are assessed by actual count. A tooth with only the roots remaining is scored as missing.
  - Crowded refers to tooth irregularities that interrupt the continuity of the dental arch when the space is insufficient for alignment. Crowded teeth may or may not also be rotated. A tooth scored as crowded is not scored as rotated.
  - Rotated refers to tooth irregularities that interrupt the continuity of the dental arch and all or part of the lingual or buccal surface faces some part or all of the adjacent proximal tooth surfaces. There is sufficient space for alignment. A tooth scored as rotated is not scored also as crowded.
  - Spacing:
    - Open spacing refers to interproximal tooth separation that exposes to view the mesial and distal papillae of a tooth. Score the number of teeth affected (not the spaces).
    - Closed spacing refers to partial space closure that will not permit a tooth to erupt without moving other teeth in the same arch. Score the number of teeth affected.

### B. Interarch Deviations

When casts are assessed for the interarch deviations, they first are approximated in centric occlusion. Each side assessed is held in direct view. When the assessment is made in the mouth, centric occlusion is obtained by bending the head backward as far as possible while the mouth is held wide open. The tongue is bent upward and backward on the palate and the teeth are quickly brought to centric occlusion before the head is again brought downward. A mouth mirror is used to obtain a more direct view in the mouth.

1. **Anterior segment:** A value of 2 points is scored for each affected maxillary tooth only.
  - Overjet refers to the labial axial inclination of the maxillary incisors in relation to the mandibular incisor, permitting the latter to occlude on or over the palatal mucosa. If the maxillary incisors are not in labial axial inclination the condition is scored as overbite only.
  - Overbite refers to the occlusion of the maxillary incisors on or over the labial gingival mucosa of the mandibular incisors, while the mandibular incisors themselves occlude on or over the palatal mucosa in back of the maxillary incisors. When the maxillary incisors are in labial axial inclination, the deviation is scored also as overjet.
  - Crossbite refers to maxillary incisors that occlude lingual to their opponents in the opposing jaw, when the teeth are in centric occlusion.
  - Open-bite refers to vertical interarch dental separation between upper and lower incisors when the posterior teeth are in centric occlusion. Open-bite is scored in addition to overjet if the maxillary incisor teeth are above the incisal edges of the mandibular incisors when the posterior teeth are in centric occlusion edge-to-edge occlusion is not assessed as open-bite.
  
2. **Posterior segment:** A value of 1 point is scored for each affected tooth.
  - Crossbite refers to teeth in the buccal segment that are positioned lingually or buccally out of partial or entire occlusal contact with the teeth in the opposing jaw when the dental arches are in centric occlusion.
  - Open-bite refers to the vertical interdental separation between the upper and lower segments when the anterior teeth are in centric occlusion. Cusp-to-cusp occlusion is not assessed as open-bite.
  - Anteroposterior deviation refers to the occlusion forward or rearward of the accepted normal of the mandibular canine, first and second premolars, and first molar in relation to the opposing maxillary teeth. The deviation is scored when it extends a full cusp or more in the molar and the premolars and canine occlude in the interproximal area mesial or distal to the accepted normal position.