

<u>Trastuzumab</u>: Herceptin[®]; Ogivri[®]; Kanjinti[®]; Trazimera[™]; Herzuma[®]; Ontruzant[®]; Hercessi[™] (Intravenous)

Document Number: IC-0057

Last Review Date: 06/04/2024

Date of Origin: 10/17/2008

Dates Reviewed: 06/2009, 12/2009, 03/2010, 09/2010, 03/2011, 06/2011, 09/2011, 12/2011, 03/2012, 06/2012, 09/2012, 11/2012, 12/2012, 03/2013, 06/2013, 09/2013, 12/2013, 03/2014, 06/2014, 09/2014, 12/2014, 03/2015, 05/2015, 08/2015, 11/2015, 02/2016, 05/2016, 08/2016, 11/2016, 02/2017, 05/2017, 08/2017, 11/2017, 02/2018, 05/2018, 09/2018, 12/2018, 03/2019, 06/2019, 09/2019, 12/2019, 03/2020, 06/2020, 09/2020, 12/2020, 03/2021, 06/2021, 09/2021, 12/2021, 02/2022, 06/2022, 09/2022, 12/2022, 03/2023, 06/2023, 09/2023, 12/2023, 03/2024, 06/2024

I. Length of Authorization ^{1-6,8}

Coverage is provided for 6 months and may be renewed (unless otherwise specified).

• Preoperative and adjuvant treatment in Breast Cancer may be authorized up to a maximum of fifty-two (52) weeks of treatment.

II. Dosing Limits

A. Quantity Limit (max daily dose) [NDC Unit]:

- 150 mg single-dose vial: 6 vials day 1, then 5 vials every 21 days thereafter
- 420 mg multiple-dose vial: 3 vials day 1, then 2 vials every 21 days thereafter

B. Max Units (per dose and over time) [HCPCS Unit]:

- Herceptin, Hercessi (150 mg SDV):
 - $\circ~$ Gastric, Esophageal, and Esophagogastric Junction Cancer:
 - ➢ Load: 90 billable units x 1 dose
 - > Maintenance: 75 billable units every 14 days
 - CNS Cancer: 300 billable units every 28 days
 - Breast Cancer, Colorectal Cancer & Appendiceal Adenocarcinoma, All other indications: 90 billable units every 21 days
- Ogivri, Kanjinti, Trazimera, Herzuma, Ontruzant (420 mg MDV):
 - o Gastric, Esophageal, and Esophagogastric Junction Cancer:
 - ➢ Load: 92 billable units x 1 dose
 - > Maintenance: 69 billable units every 14 days

- CNS Cancer: 276 billable units every 28 days
- Breast Cancer, Colorectal Cancer & Appendiceal Adenocarcinoma, All other indications: 92 billable units every 21 days

III. Initial Approval Criteria¹⁻⁷

Coverage is provided in the following conditions:

- Patient must try and have an inadequate response, contraindication, or intolerance to Kanjinti, Ogivri, AND Trazimera; **OR**
- Patient is continuing treatment with a different trastuzumab product

Step therapy does not apply to MN residents with metastatic cancer per statute 62Q.1841. https://www.revisor.mn.gov/statutes/cite/62Q.1841

• Patient is at least 18 years of age; **AND**

Universal Criteria 1-7

- Left ventricular ejection fraction (LVEF) is within normal limits prior to initiating therapy and will be assessed at regular intervals (e.g., every 3 months) during treatment; **AND**
- Patient has human epidermal growth factor receptor 2 (HER2)-positive* disease as determined by an FDA-approved or CLIA-compliant test*; **AND**
- Therapy will not be substituted with or for ado-trastuzumab emtansine (Kadcyla) or famtrastuzumab deruxtecan-nxki (Enhertu); **AND**
- Therapy will not be used in combination with trastuzumab and hyaluronidase-oysk (Herceptin Hylecta) or pertuzumab/trastuzumab and hyaluronidase-zzxf (Phesgo); **AND**

Breast Cancer **† ‡** ^{1-9,11-17,36-39,44-45}

- Used as adjuvant therapy; AND
 - Patient has locally advanced, node positive, or inflammatory disease; AND
 - Used in combination with a taxane-based regimen (e.g., docetaxel, paclitaxel, etc.) with or without pertuzumab; **OR**
 - Used as a single agent; **OR**
 - Used in combination with pertuzumab; **OR**
- Used as preoperative therapy; AND
 - o Patient has locally advanced, node positive, or inflammatory disease; AND
 - $\circ~$ Used in combination with a taxane-based regimen (e.g., docetaxel, paclitaxel, etc.) with or without pertuzumab; \mathbf{OR}
- Used for recurrent unresectable (local or regional) or metastatic disease OR inflammatory breast cancer; **AND**
 - Used as a single agent in patients who have received one or more prior chemotherapy regimens for metastatic disease **†**; **OR**



- Used in combination with one of the following:
 - Paclitaxel as first-line therapy for metastatic disease *
 - Endocrine therapy (e.g., tamoxifen, fulvestrant, or aromatase inhibition with or without lapatinib) in patients with hormone receptor-positive disease; **AND**
 - Patient is postmenopausal; **OR**
 - Patient is premenopausal and is treated with ovarian ablation/suppression;
 OR
 - Patient is premenopausal and will not receive ovarian ablation/suppression (with tamoxifen ONLY); OR
 - Patient is a male (sex assigned at birth) \mathbf{X}
 - Pertuzumab and a taxane (e.g., docetaxel, paclitaxel) as first-line therapy
 - Capecitabine and tucatinib as second-line therapy and beyond
 - Cytotoxic chemotherapy as fourth-line therapy and beyond
 - Lapatinib (without cytotoxic therapy) as fourth-line therapy and beyond
 - Pertuzumab with or without cytotoxic therapy as subsequent therapy in patients previously treated with chemotherapy and trastuzumab (without pertuzumab)

¥ When an aromatase inhibitor is used in males, suppression of testicular steroidogenesis with a GnRH analog is required.

Central Nervous System (CNS) Cancer ‡ 8,19,30-31

- Patient has leptomeningeal metastases from breast cancer; **AND**
 - Trastuzumab will be administered intrathecally; AND
 - Used as primary treatment in patients with good risk status (i.e., KPS ≥60, no major neurologic deficits, minimal systemic disease, or reasonable systemic treatment options); OR
 - Used as maintenance therapy; **OR**
- Patient has brain metastases from breast cancer; **AND**
 - \circ $\;$ Used in combination with one of the following:
 - Pertuzumab
 - Capecitabine and tucatinib in patients previously treated with at least one anti-HER2-based regimen; **AND**
 - Used in one of the following treatment settings:

©2024, Magellan Rx Management

- Used as initial treatment in patients with small asymptomatic brain metastases
- Patient has recurrent limited brain metastases
- Patient has recurrent extensive brain metastases with stable systemic disease or reasonable systemic treatment options



Patient has relapsed limited brain metastases with either stable systemic disease or reasonable systemic treatment options

Gastric, Esophageal, and Esophagogastric Junction Cancers $\dagger \ddagger \Phi^{1-8,18,33,34,51}$

- Patient has adenocarcinoma; AND
 - Used as induction systemic therapy for relieving dysphagia (*applies to Esophageal and Esophagogastric Junction Cancers ONLY*); AND
 - Patient is medically fit and planned for esophagectomy with cT2, N0 (high-risk lesions: lymphovascular invasion, ≥ 3 cm, poorly differentiated), cT1b-cT2, N+ or cT3-cT4a, Any N disease; AND
 - Used in combination with chemotherapy; OR
 - Patient has early-stage disease* with favorable histology (applies to Gastric Cancer ONLY); AND
 - Patient has completed an endoscopic resection; AND
 - Used in combination with chemotherapy; OR
 - Used in combination with pembrolizumab, fluoropyrimidine- and platinumcontaining chemotherapy; AND
 - ➤ Tumor expresses PD-L1 (CPS ≥ 1) as determined by an FDA-approved or CLIA compliant test*; OR
 - $\circ~$ Patient is not a surgical candidate or has unresectable locally advanced, recurrent, or metastatic disease; \mbox{AND}
 - Used as first-line therapy; AND
 - Used in combination with chemotherapy; OR
 - Used in combination with pembrolizumab, fluoropyrimidine- and platinumcontaining chemotherapy; AND
 - ▶ Tumor expresses PD-L1 (CPS \ge 1) as determined by an FDA-approved or CLIA compliant test ◆

* Endoscopic features suggestive of deep submucosal invasion include converging folds, irregular surface pattern, and ulceration in a large gastric mass

Endometrial Carcinoma – Uterine Neoplasms ‡ 8,20,35

- Used in combination with carboplatin and paclitaxel, followed by single agent maintenance therapy; **AND**
- Patient has uterine serous carcinoma OR carcinosarcoma; AND
 - \circ $\,$ Patient has stage III/IV disease; \mathbf{OR}
 - o Patient has recurrent disease and has not received prior trastuzumab therapy

Colorectal Cancer (CRC) ‡ 8,10,32

Page 4

• Patient has RAS and BRAF wild-type (WT) disease; AND

TRASTUZUMAB (Herceptin[®]; Ogivri[®]; Kanjinti[®]; Trazimera[™]; Herzuma[®]; Ontruzant[®], Hercessi[™]) Prior Auth Criteria Proprietary Information. Restricted Access – Do not disseminate or copy without approval. ©2024, Magellan Rx Management



- Used in combination with pertuzumab, lapatinib, or tucatinib; AND
 - Used as initial treatment for unresectable metastatic disease and previous FOLFOX or CapeOX within the past 12 months; AND
 - Patient has mismatch repair proficient/microsatellite-stable (pMMR/MSS) disease; OR
 - Used as primary treatment for unresectable (or medically inoperable) or metastatic disease if intensive therapy is not recommended; **AND**
 - Patient has not previously received HER2-directed therapy; AND
 - Patient has mismatch repair proficient/microsatellite-stable (pMMR/MSS) disease; OR
 - Patient has mismatch repair deficient/microsatellite instability-high (dMMR/MSI-H) disease or polymerase epsilon/delta (POLE/POLD1) mutation; AND
 - Patient is not eligible for or has progressed on checkpoint inhibitor immunotherapy; OR
 - Used as primary treatment for T3, N Any; T1-2, N1-2; T4, N Any; or locally unresectable (or medically inoperable) <u>rectal</u> cancer if intensive therapy is not recommended; AND
 - Used if resection is contraindicated following total neoadjuvant therapy; AND
 - Patient has proficient mismatch repair/microsatellite-stable (pMMR/MSS) disease; OR
 - Patient has deficient mismatch repair/microsatellite instability-high (dMMR/MSI-H) disease or polymerase epsilon/delta (POLE/POLD1) mutation; AND
 - Patient is not eligible for or has progressed on checkpoint inhibitor immunotherapy; OR
 - Used if resection is contraindicated following neoadjuvant/definitive immunotherapy; **AND**
 - Patient has deficient mismatch repair/microsatellite instability-high (dMMR/MSI-H) disease; OR
 - \circ Used as subsequent therapy for progression of advanced or metastatic disease; AND
 - Patient has not previously received HER2-directed therapy; AND
 - Patient has mismatch repair proficient/microsatellite-stable (pMMR/MSS) disease; OR
 - Patient has mismatch repair deficient/microsatellite instability-high (dMMR/MSI-H) disease or polymerase epsilon/delta (POLE/POLD1) mutation; AND
 - Patient is not eligible for or has progressed on checkpoint inhibitor immunotherapy



Appendiceal Adenocarcinoma – Colon Cancer ‡ 8,10

- Patient has RAS and BRAF wild-type (WT) disease; AND
- Used in combination with pertuzumab, lapatinib, or tucatinib; AND
- Patient has not previously received HER2-targeted therapy; AND
- Used for one of the following:
 - $\circ~$ Used as initial therapy for advanced or metastatic disease if intensive therapy is not recommended; \mathbf{OR}
 - \circ Used as subsequent therapy for progression of advanced or metastatic disease; AND
- Used in one of the following:
 - \circ Patient has mismatch repair proficient/microsatellite-stable (pMMR/MSS) disease; **OR**
 - Patient has mismatch repair deficient/microsatellite instability-high (dMMR/MSI-H) disease or polymerase epsilon/delta (POLE/POLD1) mutation; **AND**
 - Patient is not eligible for or has progressed on checkpoint inhibitor immunotherapy

Head and Neck Cancer ‡ 8,40-43

- Patient has salivary gland tumors; AND
- Used as a single agent OR in combination with either docetaxel or pertuzumab; AND
- Patient has recurrent disease with one of the following:
 - o Distant metastases
 - \circ Unresectable locoregional recurrence with prior radiation therapy (RT)
 - Unresectable second primary with prior RT

Biliary Tract Cancers (Gallbladder Cancer or Intra-/Extra-Hepatic Cholangiocarcinoma) ‡ 8,46,47,52

- Used as subsequent treatment for progression on or after systemic treatment for unresectable, resected gross residual (R2), or metastatic disease; **AND**
- Used in combination with either pertuzumab or tucatinib

*HER2-positive overexpression criteria

Breast, CNS, Uterine, and Head and Neck Cancer: 9,11

- Immunohistochemistry (IHC) assay 3+; **OR**
- Dual-probe in situ hybridization (ISH) assay HER2/CEP17 ratio ≥ 2.0 AND average HER2 copy number ≥ 4.0 signals/cell; **OR**
- Dual-probe in situ hybridization (ISH) assay AND concurrent IHC indicating one of the following:
 - O HER2/CEP17 ratio ≥ 2.0 AND average HER2 copy number < 4.0 signals/cell AND concurrent IHC 3+; OR
 - O HER2/CEP17 ratio < 2.0 AND average HER2 copy number ≥ 6.0 signals/cell AND concurrent IHC 2+ or 3+; OR



O HER2/CEP17 ratio < 2.0 AND average HER2 copy number ≥ 4.0 and < 6.0 signals/cell AND concurrent IHC 3+

Biliary Tract Cancer 9,11,47

- Immunohistochemistry (IHC) assay 3+; **OR**
- Dual-probe in situ hybridization (ISH) assay HER2/CEP17 ratio ≥ 2.0 AND average HER2 copy number ≥ 4.0 signals/cell; OR
- Dual-probe in situ hybridization (ISH) assay AND concurrent IHC indicating one of the following:
 - O HER2/CEP17 ratio ≥ 2.0 AND average HER2 copy number < 4.0 signals/cell AND concurrent IHC 3+; OR
 - HER2/CEP17 ratio < 2.0 AND average HER2 copy number ≥ 6.0 signals/cell AND concurrent IHC 2+ or 3+; OR
 - O HER2/CEP17 ratio < 2.0 AND average HER2 copy number ≥ 4.0 and < 6.0 signals/cell AND concurrent IHC 3+; OR
- Next-generation sequencing (NGS) panel HER2 amplification

Gastric, Esophageal, and Esophagogastric Junction Cancer: ^{33,34}

- Immunohistochemistry (IHC) assay 3+; **OR**
- Fluorescence in situ hybridization (FISH) or in situ hybridization (ISH) assay AND concurrent IHC indicating one of the following:
 - \circ HER2/CEP17 ratio \geq 2.0 AND concurrent IHC 2+; **OR**
 - \circ Average HER2 copy number \geq 6.0 signals/cell AND concurrent IHC 2+

Colorectal Cancer and Appendiceal Adenocarcinoma: 10,32

- Immunohistochemistry (IHC) assay 3+; **OR**
- Fluorescence in situ hybridization (FISH) HER2/CEP17 ratio \geq 2 AND concurrent IHC 2+; **OR**
- Next-generation sequencing (NGS) panel HER2 amplification

♦ If confirmed using an immunotherapy assay-http://www.fda.gov/companiondiagnostics

FDA Approved Indication(s); Compendia Recommended Indication(s); Orphan Drug

IV. Renewal Criteria¹⁻⁷

Coverage may be renewed based upon the following criteria:

- Patient continues to meet the universal and other indication-specific relevant criteria such as concomitant therapy requirements (not including prerequisite therapy), performance status, etc. identified in section III; **AND**
- Disease response with treatment as defined by stabilization of disease or decrease in size of tumor or tumor spread; **AND**
- Absence of unacceptable toxicity from the drug. Examples of unacceptable toxicity include: cardiomyopathy (e.g., left ventricular cardiac dysfunction, arrhythmias, cardiac failure, etc.), pulmonary toxicity (e.g., dyspnea, interstitial pneumonitis, pulmonary infiltrates,



pleural effusions, etc.), severe or febrile neutropenia, severe infusion-related reactions, etc.; **AND**

- Left ventricular ejection fraction (LVEF) obtained within the previous 3 months as follows:
 - LVEF is within the institutional normal limits, and has not had an <u>absolute</u> decrease of ≥ 16% from pre-treatment baseline; **OR**
 - LVEF is below the institutional lower limits of normal, and has not had an <u>absolute</u> decrease of ≥ 10% from pre-treatment baseline; **AND**

Breast Cancer (preoperative and adjuvant therapy) 1-8

• Patient has not exceeded a maximum of fifty-two (52) weeks of treatment

V. Dosage/Administration ^{1-9,19,20,30,32-34,41-43,46,50,52}

Indication	Dose		
Breast Cancer	Preoperative or Adjuvant Therapy		
	In Combination With Chemotherapy		
	Loading dose: 4 mg/kg intravenously x 1 for every 7-day dosing schedule		
	Maintenance dose: 2 mg/kg intravenously every 7 days for up to 18 weeks.		
	-One week following the last weekly dose of trastuzumab, administer 6 mg/kg intravenously every 21 days.		
	OR		
	Loading dose: 8 mg/kg intravenously x 1 for every 21-day dosing schedule		
	Maintenance dose: 6 mg/kg intravenously every 21 days		
	Single-Agent Therapy (following chemotherapy)		
	Loading dose: 8 mg/kg intravenously x 1 for every 21-day dosing schedule		
	Maintenance dose: 6 mg/kg intravenously every 21 days		
	<i>Note: Use for preoperative and adjuvant treatment is limited to a total of 52 weeks of treatment.</i>		
	<u>Recurrent, Unresectable, Metastatic Disease</u> OR Inflammatory breast cancer (alone or in combination with chemotherapy)		
	Loading dose: 4 mg/kg intravenously x 1 for every 7-day dosing schedule		
	Maintenance dose: 2 mg/kg intravenously every 7 days		
	OR		
	Loading dose: 8 mg/kg intravenously x 1 for every 21-day dosing schedule		
	Maintenance dose: 6 mg/kg intravenously every 21 days		
	Note: Treat until disease progression or intolerable toxicity.		
Gastric,	Loading dose: 8 mg/kg intravenously x 1 for every 21-day dosing schedule		
Esophageal, and	d Maintenance dose: 6 mg/kg intravenously every 21 days		
Esophagogastric	OR		
Junction Cancers	Loading dose: 6 mg/kg intravenously x 1 for every 14-day dosing schedule		
	Maintenance dose: 4 mg/kg intravenously every 14 days		

TRASTUZUMAB (Herceptin[®]; Ogivri[®]; Kanjinti[®]; Trazimera[™]; Herzuma[®]; Ontruzant[®], Hercessi[™]) Prior Auth Criteria



	Note: Treat until disease progression or intolerable toxicity.		
Colorectal Cancer	Loading dose: 8 mg/kg intravenously x 1 for every 21-day dosing schedule		
& Appendiceal	Maintenance dose: 6 mg/kg intravenously every 21 days		
Adenocarcinoma	OR		
	Loading dose: 4 mg/kg intravenously x 1 for every 7-day dosing schedule		
	Maintenance dose: 2 mg/kg intravenously every 7 days		
	Note: Treat until disease progression or intolerable toxicity.		
CNS Cancer	Leptomeningeal Metastases from Breast Cancer		
	Escalating doses up to 100 mg intrathecally weekly*		
	*Dosing is highly variable and should be individualized.		
	Limited or Extensive Brain Metastases from Breast Cancer		
	Combination Therapy with pertuzumab		
	-Administer 6 mg/kg intravenously every 7 days		
	Combination Therapy with capecitabine and tucatinib		
	-Administer an initial dose at 8 mg/kg intravenously followed by 6 mg/kg intravenously every 21 days		
	Note: Treat until disease progression or intolerable toxicity.		
All other	Loading dose: 8 mg/kg intravenously x 1 for every 21-day dosing schedule		
indications	Maintenance dose: 6 mg/kg intravenously every 21 days		
	Note: Treat until disease progression or intolerable toxicity.		

VI. Billing Code/Availability Information

Brand Name	HCPCS	HCPCS Description	1 BU	Vial Size & Type	NDCs
		Injustion treature h		150 mg SDV	50242-0132-xx
Herceptin	J9355	Injection, trastuzumab, excludes biosimilar, 10 mg	10 mg	420 mg MDV	50242-0333-xx
		excludes bloshinnar, 10 mg		(discontinued)	(discontinued)
				150 mg SDV	83257-0001-xx
		Injection, trastuzumab-dkst,		420 mg MDV	83257-0004-xx
Ogivri	Q5114	biosimilar, (ogivri), 10 mg	10 mg	(with diluent)	
		biosininar, (ogivii), io ing		420 mg MDV (no	83257-0003-xx
				diluent)	
	Q5117	Injection, trastuzumab-anns, biosimilar, (kanjinti), 10 mg	10 mg	150 mg SDV	55513-0141-xx
Kanjinti				420 mg MDV	55513-0164-xx
				(with diluent)	
				420 mg MDV (no	55513-0132-xx
				diluent)	
Trazimera	Q5116	Injection, trastuzumab-qyyp,	10 mg	150 mg SDV	00069-0308-xx
Trazillera	QUIIO	biosimilar, (trazimera), 10 mg	10 mg	420 mg MDV	00069-0305-xx
Herzuma	Q5113	Injection, trastuzumab-pkrb,	10 mg	150 mg SDV	63459-0303-xx
merzama	QUIIO	biosimilar, (herzuma), 10 mg	10 mg	420 mg MDV	63459-0305-xx
Ontruzant	Q5112	Injection, trastuzumab-dttb,	10 mg	150 mg SDV	78206-0147-xx
Unituzalit	•	biosimilar, (ontruzant), 10 mg	TO IIIg	420 mg MDV	78206-0148-xx
Hercessi	J9999	Not otherwise classified,	n/a	150 mg SDV	69448-0015-xx
		antineoplastic			
<u>Notes</u> :					

TRASTUZUMAB (Herceptin[®]; Ogivri[®]; Kanjinti[®]; Trazimera[™]; Herzuma[®]; Ontruzant[®], Hercessi[™]) Prior Auth Criteria



Brand Name		HCPCS	HCPCS Description	1 BU	Vial Size & Type	NDCs
• Herceptin and Hercessi are only available as a single-dose vial; therefore, the JW modifier is						

- allowed. • Ogivri, Kanjinti, Trazimera, Herzuma, & Ontruzant are available as both single-dose and multi-
- dose vials. Approvals are based upon use of the MDV; therefore, the JW modifier is not allowed.

VII. References

- 1. Herceptin [package insert]. South San Francisco, CA; Genentech, Inc.; February 2021. Accessed May 2024.
- 2. Ogivri [package insert]. Cambridge, MA; Biocon Biologics, Inc.; July 2023. Accessed May 2024.
- 3. Kanjinti [package insert]. Thousand Oaks, CA; Amgen, Inc.; October 2022. Accessed May 2024.
- 4. Trazimera [package insert]. Cork, Ireland; Pfizer Ireland Pharmaceuticals; November 2020. Accessed May 2024.
- 5. Herzuma [package insert]. Yeonsu-gu, Incheon, Republic of Korea; Celltrion, Inc.; May 2019. Accessed May 2024.
- 6. Ontruzant [package insert]. Yeonsu-gu, Incheon, Republic of Korea; Samsung Bioepsis Co., Ltd.; June 2021. Accessed May 2024.
- 7. Hercessi [package insert]. Shanghai, China; Accord BioPharma Inc.; April 2024. Accessed May 2024.
- 8. Referenced with permission from the NCCN Drugs & Biologics Compendium (NCCN Compendium®) for trastuzumab. National Comprehensive Cancer Network, 2024. The NCCN Compendium® is a derivative work of the NCCN Guidelines®. NATIONAL COMPREHENSIVE CANCER NETWORK®, NCCN®, and NCCN GUIDELINES® are trademarks owned by the National Comprehensive Cancer Network, Inc. To view the most recent and complete version of the Compendium, go online to NCCN.org. Accessed May 2024.
- 9. Referenced with permission from the NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines[®]) for Breast Cancer, Version 2.2024. National Comprehensive Cancer Network, 2024. NATIONAL COMPREHENSIVE CANCER NETWORK®, NCCN®, and NCCN GUIDELINES® are trademarks owned by the National Comprehensive Cancer Network, Inc. To view the most recent and complete version of the Guidelines, go online to NCCN.org. Accessed May 2024.
- 10. Referenced with permission from the NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines[®]) for Colon Cancer, Version 2.2024. National Comprehensive Cancer Network, 2024. NATIONAL COMPREHENSIVE CANCER NETWORK®, NCCN®, and NCCN GUIDELINES® are trademarks owned by the National Comprehensive Cancer Network, Inc. To view the most recent and complete version of the Guidelines, go online to NCCN.org. Accessed May 2024.



- 11. Wolff AC, Hammond EH, Allison KH, et al. Human epidermal growth factor receptor 2 testing in breast cancer: American Society of Clinical Oncology/College of American Pathologists Clinical Practice Guideline Focused Update. J Clin Oncol 2018;36:2105-2122.
- 12. Romond EH, Perez EA, Bryant J, et al. Trastuzumab plus adjuvant chemotherapy for operable HER2-positive breast cancer. N Engl J Med. 2005;353:1673-1684 and supplementary appendix.
- 13. Piccart-Gebhart MJ, Procter M, Leyland-Jones B, et al. Trastuzumab after adjuvant chemotherapy in HER2-positive breast cancer. N Engl J Med. 2005;353:1659-1672.
- 14. Cameron D, Piccart-Gebhart MJ, Gelber RD et al. 11 years' follow-up of trastuzumab after adjuvant chemotherapy in HER2-positive early breast cancer: final analysis of the HERceptin Adjuvant (HERA) trial. Lancet. 2017 Mar 25;389(10075):1195-1205.
- 15. Vogel CL, Cobleigh MA, Tripathy D, et al. Efficacy and safety of trastuzumab as a single agent in first-line treatment of HER2-overexpressing metastatic breast cancer. J Clin Oncol. 2002 Feb 1;20(3):719-26.
- 16. Seidman AD, Berry D, Cirrincione C, et al. Randomized phase III trial of weekly compared with every-3-weeks paclitaxel for metastatic breast cancer, with trastuzumab for all HER-2 overexpressors and random assignment to trastuzumab or not in HER-2 nonoverexpressors: final results of Cancer and Leukemia Group B protocol 9840. J Clin Oncol. 2008 Apr 1;26(10):1642-9.
- 17. Robert N, Leyland-Jones B, Asmar L, et al. Randomized phase III study of trastuzumab, paclitaxel, and carboplatin compared with trastuzumab and paclitaxel in women with HER-2-overexpressing metastatic breast cancer.
- 18. Bang YJ, Van Cutsem E, Feyereislova A, et al. Trastuzumab in combination with chemotherapy versus chemotherapy alone for treatment of HER2-positive advanced gastric or gastro-esophageal junction cancer (ToGA): a phase 3, open-label, randomised controlled trial. Lancet. 2010 Aug 28;376(9742):687-97. J Clin Oncol. 2006 Jun 20;24(18):2786-92.
- 19. Zagouri F, Sergentanis TN, Bartsch R, et al. Intrathecal administration of trastuzumab for the treatment of meningeal carcinomatosis in HER2-positive metastatic breast cancer: a systematic review and pooled analysis. Breast Cancer Res Treat 2013; 139:13-22.
- 20. Fader AN, Roque DM, Siegel E, et al. Randomized Phase II Trial of Carboplatin-Paclitaxel Versus Carboplatin-Paclitaxel-Trastuzumab in Uterine Serous Carcinomas That Overexpress Human Epidermal Growth Factor Receptor 2/neu. J Clin Oncol. 2018 Jul 10;36(20):2044-2051. doi: 10.1200/JCO.2017.76.5966. Epub 2018 Mar 27.
- 21. Hainsworth JD, Meric-Bernstam F, Swanton C, et al. Targeted Therapy for Advanced Solid Tumors on the Basis of Molecular Profiles: Results From MyPathway, an Open-Label, Phase IIa Multiple Basket Study. Clin Oncol. 2018 Feb 20;36(6):536-542.
- 22. Fahrenbruch R, Kintzel P, Bott AM, et al. Dose Rounding of Biologic and Cytotoxic Anticancer Agents: A Position Statement of the Hematology/Oncology Pharmacy Association. J Oncol Pract. 2018 Mar;14(3):e130-e136.

Page 11



- 23. Hematology/Oncology Pharmacy Association (2019). *Intravenous Cancer Drug Waste Issue Brief.* Retrieved from https://www.hoparx.org/about-us/advocacy-awareness/issue-briefs/
- 24. Bach PB, Conti RM, Muller RJ, et al. Overspending driven by oversized single dose vials of cancer drugs. BMJ. 2016 Feb 29;352:i788.
- 25. von Minckwitz G, Colleoni M, Kolberg HC, et al. Efficacy and safety of ABP 980 compared with reference trastuzumab in women with HER2-positive early breast cancer (LILAC study): a randomised, double-blind, phase 3 trial. Lancet Oncol. 2018;19:987-998.
- 26. Rugo HS, Barve A, Waller CF, et al. Effect of a proposed trastuzumab biosimilar compared with trastuzumab on overall response rate in patients with ERBB2 (HER2)-positive metastatic breast cancer: a randomized clinical trial. JAMA. 2017;317:37–47.
- 27. Pivot X, Bondarenko I, Nowecki Z, et al. Phase III, randomized, double-blind study comparing the efficacy, safety, and immunogenicity of SB3 (trastuzumab biosimilar) and reference trastuzumab in patients treated with neoadjuvant therapy for human epidermal growth factor receptor 2-positive early breast cancer. J Clin Oncol. 2018;36:968-974.
- 28. Pegram MD, Bondarenko I, Zorzetto MMC, et al. PF-05280014 (a trastuzumab biosimilar) plus paclitaxel compared with reference trastuzumab plus paclitaxel for HER2-positive metastatic breast cancer: a randomised, double-blind study. Br J Cancer. 2019;120:172-182.
- 29. Esteva FJ, Baranau YV, Baryash V, et al. Efficacy and safety of CT-P6 versus reference trastuzumab in HER2-positive early breast cancer: updated results of a randomised phase 3 trial. Cancer Chemother Pharmacol. 2019 Oct;84(4):839-847.
- 30. Murthy RK, Loi S, Okines A, et al. Tucatinib, trastuzumab, and capecitabine for HER2positive metastatic breast cancer. N Engl J Med.2020;382:597-609.
- 31. Referenced with permission from the NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines[®]) for Central Nervous System Cancers, Version 1.2023. National Comprehensive Cancer Network, 2024. NATIONAL COMPREHENSIVE CANCER NETWORK®, NCCN®, and NCCN GUIDELINES® are trademarks owned by the National Comprehensive Cancer Network, Inc. To view the most recent and complete version of the Guidelines, go online to NCCN.org. Accessed May 2024.
- 32. Referenced with permission from the NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines[®]) for Rectal Cancer, Version 2.2024. National Comprehensive Cancer Network, 2024. NATIONAL COMPREHENSIVE CANCER NETWORK®, NCCN®, and NCCN GUIDELINES® are trademarks owned by the National Comprehensive Cancer Network, Inc. To view the most recent and complete version of the Guidelines, go online to NCCN.org. Accessed May 2024.
- 33. Referenced with permission from the NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines[®]) for Gastric Cancer, Version 1.2024. National Comprehensive Cancer Network, 2024. NATIONAL COMPREHENSIVE CANCER NETWORK®, NCCN®, and NCCN GUIDELINES® are trademarks owned by the National Comprehensive Cancer Network, Inc. To view the most recent and complete version of the Guidelines, go online to NCCN.org. Accessed May 2024.



- 34. Referenced with permission from the NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines[®]) for Esophageal and Esophagogastric Junction Cancers, Version 3.2024. National Comprehensive Cancer Network, 2024. NATIONAL COMPREHENSIVE CANCER NETWORK®, NCCN®, and NCCN GUIDELINES® are trademarks owned by the National Comprehensive Cancer Network, Inc. To view the most recent and complete version of the Guidelines, go online to NCCN.org. Accessed May 2024.
- 35. Referenced with permission from the NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines[®]) for Uterine Neoplasms, Version 2.2024. National Comprehensive Cancer Network, 2024. NATIONAL COMPREHENSIVE CANCER NETWORK®, NCCN®, and NCCN GUIDELINES® are trademarks owned by the National Comprehensive Cancer Network, Inc. To view the most recent and complete version of the Guidelines, go online to NCCN.org. Accessed May 2024.
- 36. Perez EA, Romond EH, Suman VJ, et al. Trastuzumab plus adjuvant chemotherapy for human epidermal growth factor receptor 2-positive breast cancer: planned joint analysis of overall survival from NSABP B-31 and NCCTG N9831. J Clin Oncol. 2014;32(33):3744-3752.
- 37. Slamon D, Eiermann W, Robert N, et al. Adjuvant trastuzumab in HER2-positive breast cancer. N Engl J Med. 2011;365(14):1273-1283.
- 38. Eiermann W; International Herceptin Study Group. Trastuzumab combined with chemotherapy for the treatment of HER2-positive metastatic breast cancer: pivotal trial data. Ann Oncol. 2001;12 Suppl 1:S57-S62.
- 39. Cobleigh MA, Vogel CL, Tripathy D, et al. Multinational study of the efficacy and safety of humanized anti-HER2 monoclonal antibody in women who have HER2-overexpressing metastatic breast cancer that has progressed after chemotherapy for metastatic disease. J Clin Oncol. 1999;17(9):2639-2648.
- 40. Referenced with permission from the NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines[®]) for Head and Neck Cancers, Version 4.2024. National Comprehensive Cancer Network, 2024. NATIONAL COMPREHENSIVE CANCER NETWORK®, NCCN®, and NCCN GUIDELINES® are trademarks owned by the National Comprehensive Cancer Network, Inc. To view the most recent and complete version of the Guidelines, go online to NCCN.org. Accessed May 2024.
- 41. Thorpe L, Schrock A, Erlich R, et al. Significant and durable clinical benefit from trastuzumab in 2 patients with HER2-amplified salivary gland cancer and a review of the literature. Head Neck 2017 Mar;39(3):E40-E44. doi: 10.1002/hed.24634. Epub 2016 Dec 22.
- 42. Kurzrock R, Bowles D, Kang H, et al. Targeted therapy for advanced salivary gland carcinoma based on molecular profiling: results from MyPathway, a phase IIa multiple basket study. Annals of Oncology, Volume 31, Issue 3, 412 – 421
- 43. Takahashi H, Tada Y, Saotome T, et al. Phase II Trial of Trastuzumab and Docetaxel in Patients With Human Epidermal Growth Factor Receptor 2-Positive Salivary Duct Carcinoma. J Clin Oncol 2019 Jan 10;37(2):125-134. doi: 10.1200/JCO.18.00545. Epub 2018 Nov 19.



- 44. Korde LA, Somerfield MR, Carey LA, et al. Neoadjuvant Chemotherapy, Endocrine Therapy, and Targeted Therapy for Breast Cancer: ASCO Guideline. J Clin Oncol. 2021 May 1;39(13):1485-1505. doi: 10.1200/JCO.20.03399. Epub 2021 Jan 28. PMID: 33507815; PMCID: PMC8274745.
- 45. Gennari A, André F, Barrios CH, et al.; ESMO Guidelines Committee. Electronic address: clinicalguidelines@esmo.org. ESMO Clinical Practice Guideline for the diagnosis, staging and treatment of patients with metastatic breast cancer. Ann Oncol. 2021 Dec;32(12):1475-1495. doi: 10.1016/j.annonc.2021.09.019. Epub 2021 Oct 19. PMID: 34678411.
- 46. Javle M, Borad MJ, Azad NS, et al. Pertuzumab and trastuzumab for HER2-positive, metastatic biliary tract cancer (MyPathway): a multicentre, open-label, phase 2a, multiple basket study. Lancet Oncol. 2021 Sep;22(9):1290-1300. doi: 10.1016/S1470-2045(21)00336-3. Epub 2021 Jul 30.
- 47. Referenced with permission from the NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines®) for Biliary Tract Cancers, Version 2.2024. National Comprehensive Cancer Network, 2024. NATIONAL COMPREHENSIVE CANCER NETWORK®, NCCN®, and NCCN GUIDELINES® are trademarks owned by the National Comprehensive Cancer Network, Inc. To view the most recent and complete version of the Guidelines, go online to NCCN.org. Accessed May 2024.
- 48. Buza N, English DP, Santin AD, Hui P. Toward standard HER2 testing of endometrial serous carcinoma: 4-year experience at a large academic center and recommendations for clinical practice. Mod Pathol. 2013 Dec;26(12):1605-12. doi: 10.1038/modpathol.2013.113.
- 49. Bartley AN, Washington MK, Colasacco C, et al. HER2 Testing and Clinical Decision Making in Gastroesophageal Adenocarcinoma: Guideline From the College of American Pathologists, American Society for Clinical Pathology, and the American Society of Clinical Oncology. J Clin Oncol. 2017 Feb;35(4):446-464. doi: 10.1200/JCO.2016.69.4836.
- 50. Lin NU, Pegram M, Sahebjam S, et al. Pertuzumab Plus High-Dose Trastuzumab in Patients With Progressive Brain Metastases and HER2-Positive Metastatic Breast Cancer: Primary Analysis of a Phase II Study. J Clin Oncol. 2021 Aug 20;39(24):2667-2675. doi: 10.1200/JCO.20.02822.
- 51. Janjigian YY, Kawazoe A, Bai Y, et al. Pembrolizumab plus trastuzumab and chemotherapy for HER2-positive gastric or gastro-oesophageal junction adenocarcinoma: interim analyses from the phase 3 KEYNOTE-811 randomised placebo-controlled trial. Lancet 2023;402:2197-2208.
- 52. Nakamura Y, Mizuno N, Sunakawa Y, et al. Tucatinib and Trastuzumab for Previously Treated Human Epidermal Growth Factor Receptor 2-Positive Metastatic Biliary Tract Cancer (SGNTUC-019): A Phase II Basket Study. J Clin Oncol. 2023 Dec 20;41(36):5569-5578. doi: 10.1200/JCO.23.00606. Epub 2023 Sep 26.
- 53. First Coast Service Options, Inc. Local Coverage Article: Billing and Coding: Trastuzumab -Trastuzumab Biologics (A56660). Centers for Medicare & Medicaid Services, Inc. Updated on 10/08/2021 with effective date of 10/01/2021. Accessed May 2024.



Appendix 1 – Covered Diagnosis Codes

ICD-10	ICD-10 Description
C06.9	Malignant neoplasm of mouth, unspecified
C07	Malignant neoplasm of parotid gland
C08.0	Malignant neoplasm of submandibular gland
C08.1	Malignant neoplasm of sublingual gland
C08.9	Malignant neoplasm of major salivary gland, unspecified
C15.3	Malignant neoplasm of upper third of esophagus
C15.4	Malignant neoplasm of middle third of esophagus
C15.5	Malignant neoplasm of the lower third of esophagus
C15.8	Malignant neoplasm of overlapping sites of esophagus
C15.9	Malignant neoplasm of esophagus, unspecified
C16.0	Malignant neoplasm of cardia
C16.1	Malignant neoplasm of fundus of stomach
C16.2	Malignant neoplasm of body of stomach
C16.3	Malignant neoplasm of pyloric antrum
C16.4	Malignant neoplasm of pylorus
C16.5	Malignant neoplasm of lesser curvature of stomach, unspecified
C16.6	Malignant neoplasm of greater curvature of stomach, unspecified
C16.8	Malignant neoplasm of overlapping sites of stomach
C16.9	Malignant neoplasm of stomach, unspecified
C18.0	Malignant neoplasm of cecum
C18.1	Malignant neoplasm of appendix
C18.2	Malignant neoplasm of ascending colon
C18.3	Malignant neoplasm of hepatic flexure
C18.4	Malignant neoplasm of transverse colon
C18.5	Malignant neoplasm of splenic flexure
C18.6	Malignant neoplasm of descending colon
C18.7	Malignant neoplasm of sigmoid colon
C18.8	Malignant neoplasm of overlapping sites of large intestines
C18.9	Malignant neoplasm of colon, unspecified
C19	Malignant neoplasm of rectosigmoid junction
C20	Malignant neoplasm of rectum
C21.8	Malignant neoplasm of overlapping sites of rectum, anus and anal canal

Page 15	I	 TRASTUZUMAB (Herceptin[®]; Ogivri[®]; Kanjinti[®]; Trazimera[™]; Herzuma[®]; Ontruzant[®], Hercessi[™]) Prior Auth Criteria Proprietary Information. Restricted Access – Do not disseminate or copy without approval. ©2024, Magellan Rx Management 	
---------	---	---	--

ICD-10	ICD-10 Description
C22.1	Intrahepatic bile duct carcinoma
C23	Malignant neoplasm of gallbladder
C24.0	Malignant neoplasm of extrahepatic bile duct
C24.8	Malignant neoplasm of overlapping sites of biliary tract
C24.9	Malignant neoplasm of biliary tract, unspecified
C50.011	Malignant neoplasm of nipple and areola, right female breast
C50.012	Malignant neoplasm of nipple and areola, left female breast
C50.019	Malignant neoplasm of nipple and areola, unspecified female breast
C50.021	Malignant neoplasm of nipple and areola, right female breast
C50.022	Malignant neoplasm of nipple and areola, left female breast
C50.029	Malignant neoplasm of nipple and areola, unspecified female breast
C50.111	Malignant neoplasm of central portion of right female breast
C50.112	Malignant neoplasm of central portion of left female breast
C50.119	Malignant neoplasm of central portion of unspecified female breast
C50.121	Malignant neoplasm of central portion of right male breast
C50.122	Malignant neoplasm of central portion of left male breast
C50.129	Malignant neoplasm of central portion of unspecified male breast
C50.211	Malignant neoplasm of upper-inner quadrant of right female breast
C50.212	Malignant neoplasm of upper-inner quadrant of left female breast
C50.219	Malignant neoplasm of upper-inner quadrant of unspecified female breast
C50.221	Malignant neoplasm of upper-inner quadrant of right male breast
C50.222	Malignant neoplasm of upper-inner quadrant of left male breast
C50.229	Malignant neoplasm of upper-inner quadrant of unspecified male breast
C50.311	Malignant neoplasm of lower-inner quadrant of right female breast
C50.312	Malignant neoplasm of lower-inner quadrant of left female breast
C50.319	Malignant neoplasm of lower-inner quadrant of unspecified female breast
C50.321	Malignant neoplasm of lower-inner quadrant of right male breast
C50.322	Malignant neoplasm of lower-inner quadrant of left male breast
C50.329	Malignant neoplasm of lower-inner quadrant of unspecified male breast
C50.411	Malignant neoplasm of upper-outer quadrant of right female breast
C50.412	Malignant neoplasm of upper-outer quadrant of left female breast
C50.419	Malignant neoplasm of upper-outer quadrant of unspecified female breast
C50.421	Malignant neoplasm of upper-outer quadrant of right male breast
C50.422	Malignant neoplasm of upper-outer quadrant of left male breast

TRASTUZUMAB (Herceptin[®]; Ogivri[®]; Kanjinti[®]; Trazimera[™]; Herzuma[®]; Ontruzant[®], Hercessi[™]) Prior Auth Criteria



Proprietary Information. Restricted Access – Do not disseminate or copy without approval. ©2024, Magellan Rx Management

ICD-10	ICD-10 Description
C50.429	Malignant neoplasm of upper-outer quadrant of unspecified male breast
C50.511	Malignant neoplasm of lower-outer quadrant of right female breast
C50.512	Malignant neoplasm of lower-outer quadrant of left female breast
C50.519	Malignant neoplasm of lower-outer quadrant of unspecified female breast
C50.521	Malignant neoplasm of lower-outer quadrant of right male breast
C50.522	Malignant neoplasm of lower-outer quadrant of left male breast
C50.529	Malignant neoplasm of lower-outer quadrant of unspecified male breast
C50.611	Malignant neoplasm of axillary tail of right female breast
C50.612	Malignant neoplasm of axillary tail of left female breast
C50.619	Malignant neoplasm of axillary tail of unspecified female breast
C50.621	Malignant neoplasm of axillary tail of right male breast
C50.622	Malignant neoplasm of axillary tail of left male breast
C50.629	Malignant neoplasm of axillary tail of unspecified male breast
C50.811	Malignant neoplasm of overlapping sites of right female breast
C50.812	Malignant neoplasm of overlapping sites of left female breast
C50.819	Malignant neoplasm of overlapping sites of unspecified female breast
C50.821	Malignant neoplasm of overlapping sites of right male breast
C50.822	Malignant neoplasm of overlapping sites of left male breast
C50.829	Malignant neoplasm of overlapping sites of unspecified male breast
C50.911	Malignant neoplasm of unspecified site of right female breast
C50.912	Malignant neoplasm of unspecified site of left female breast
C50.919	Malignant neoplasm of unspecified site of unspecified female breast
C50.921	Malignant neoplasm of unspecified site of right male breast
C50.922	Malignant neoplasm of unspecified site of left male breast
C50.929	Malignant neoplasm of unspecified site of unspecified male breast
C54.0	Malignant neoplasm of isthmus uteri
C54.1	Malignant neoplasm of endometrium
C54.2	Malignant neoplasm of myometrium
C54.3	Malignant neoplasm of fundus uteri
C54.8	Malignant neoplasm of overlapping sites of corpus uteri
C54.9	Malignant neoplasm of corpus uteri, unspecified
C55	Malignant neoplasm of uterus, part unspecified
C78.00	Secondary malignant neoplasm of unspecified lung
C78.01	Secondary malignant neoplasm of right lung

TRASTUZUMAB (Herceptin[®]; Ogivri[®]; Kanjinti[®]; Trazimera[™];
 Herzuma[®]; Ontruzant[®], Hercessi[™])
 Prior Auth Criteria
 Proprietary Information. Restricted Access – Do not disseminate or copy



©2024, Magellan Rx Management

Page 17

ICD-10	ICD-10 Description
C78.02	Secondary malignant neoplasm of left lung
C78.6	Secondary malignant neoplasm of retroperitoneum and peritoneum
C78.7	Secondary malignant neoplasm of liver and intrahepatic bile duct
C79.31	Secondary malignant neoplasm of brain
C79.32	Secondary malignant neoplasm of cerebral meninges
D37.1	Neoplasm of uncertain behavior of stomach
D37.8	Neoplasm of uncertain behavior of other specified digestive organs
D37.9	Neoplasm of uncertain behavior of digestive organ, unspecified
Z85.00	Personal history of malignant neoplasm of unspecified digestive organ
Z85.01	Personal history of malignant neoplasm of esophagus
Z85.028	Personal history of other malignant neoplasm of stomach
Z85.038	Personal history of other malignant neoplasm of large intestine
Z85.3	Personal history of malignant neoplasm of breast
Z85.42	Personal history of malignant neoplasm of other parts of uterus

Appendix 2 – Centers for Medicare and Medicaid Services (CMS)

The preceding information is intended for non-Medicare coverage determinations. Medicare coverage for outpatient (Part B) drugs is outlined in the Medicare Benefit Policy Manual (Pub. 100-2), Chapter 15, §50 Drugs and Biologicals. In addition, National Coverage Determinations (NCDs) and/or Local Coverage Determinations (LCDs) may exist and compliance with these policies is required where applicable. Local Coverage Articles (LCAs) may also exist for claims payment purposes or to clarify benefit eligibility under Part B for drugs which may be self-administered. The following link may be used to search for NCD, LCD, or LCA documents: https://www.cms.gov/medicare-coverage-database/search.aspx. Additional indications, including any preceding information, may be applied at the discretion of the health plan.

Medicare Part B Covered Diagnosis Codes					
Jurisdiction	Jurisdiction NCD/LCA/LCD Contractor				
	Document (s)				
Ν	A56660	First Coast Service Options, Inc.			

Medicare Part B Administrative Contractor (MAC) Jurisdictions			
Jurisdiction	tion Applicable State/US Territory Contractor		
E (1)	CA, HI, NV, AS, GU, CNMI	Noridian Healthcare Solutions, LLC	
F (2 & 3)	AK, WA, OR, ID, ND, SD, MT, WY, UT, AZ	Noridian Healthcare Solutions, LLC	
5	KS, NE, IA, MO	Wisconsin Physicians Service Insurance Corp (WPS)	
6	MN, WI, IL	National Government Services, Inc. (NGS)	

Page 18		TRASTUZUMAB (Herceptin®; Ogivri®; Kanjinti®; Trazimera™; Herzuma®; Ontruzant®, Hercessi™)	
	1.1	Prior Auth Criteria	Magellan
	1	Proprietary Information. Restricted Access – Do not disseminate or copy	
		without approval.	
		©2024, Magellan Rx Management	

Medicare Part B Administrative Contractor (MAC) Jurisdictions		
Jurisdiction	Applicable State/US Territory	Contractor
H (4 & 7)	LA, AR, MS, TX, OK, CO, NM	Novitas Solutions, Inc.
8	MI, IN	Wisconsin Physicians Service Insurance Corp (WPS)
N (9)	FL, PR, VI	First Coast Service Options, Inc.
J (10)	TN, GA, AL	Palmetto GBA
M (11)	NC, SC, WV, VA (excluding below)	Palmetto GBA
L (12)	DE, MD, PA, NJ, DC (includes Arlington & Fairfax counties and the city of Alexandria in VA)	Novitas Solutions, Inc.
K (13 & 14)	NY, CT, MA, RI, VT, ME, NH	National Government Services, Inc. (NGS)
15	КҮ, ОН	CGS Administrators, LLC

Page 19



PreferredOne Community Health Plan Nondiscrimination Notice

PreferredOne Community Health Plan ("PCHP") complies with applicable Federal civil rights laws and does not discriminate on the basis of race, color, national origin, age, disability, or sex. PCHP does not exclude people or treat them differently because of race, color, national origin, age, disability, or sex.

PCHP:

Provides free aids and services to people with disabilities to communicate effectively with us, such as:

- Qualified sign language interpreters
- Written information in other formats (large print, audio, accessible electronic formats, other formats)

Provides free language services to people whose primary language is not English, such as:

- Qualified interpreters
- Information written in other languages

If you need these services, contact a Grievance Specialist.

If you believe that PCHP has failed to provide these services or discriminated in another way on the basis of race, color, national origin, age, disability, or sex, you can file a grievance with:

Grievance Specialist PreferredOne Community Health Plan PO Box 59052 Minneapolis, MN 55459-0052 Phone: 1.800.940.5049 (TTY: 763.847.4013) Fax: 763.847.4010 customerservice@preferredone.com

You can file a grievance in person or by mail, fax, or email. If you need help filing a grievance, a Grievance Specialist is available to help you.

You can also file a civil rights complaint with the U.S. Department of Health and Human Services, Office for Civil Rights, electronically through the Office for Civil Rights Complaint Portal, available at https://ocrportal.hhs.gov/ocr/portal/lobby.jsf, or by mail or phone at:

U.S. Department of Health and Human Services 200 Independence Avenue, SW Room 509F, HHH Building Washington, D.C. 20201 1-800-368-1019, 800-537-7697 (TDD)

Complaint forms are available at http://www.hhs.gov/ocr/office/file/index.html.

Language Assistance Services

ATTENTION: If you do not speak English, language assistance services, free of charge, are available to you. Call 1.800.940.5049 (TTY: 763.847.4013). ATENCIÓN: si habla español, tiene a su disposición servicios gratuitos de asistencia lingüística. Llame al 1.800.940.5049 (TTY: 763.847.4013) LUS CEEV: Yog tias koj hais lus Hmoob, cov kev pab txog lus, muaj kev pab dawb rau koj. Hu rau 1.800.940.5049 (TTY: 763.847.4013). XIYYEEFFANNAA: Afaan dubbattu Oroomiffa, tajaajila gargaarsa afaanii, kanfaltiidhaan ala, ni argama. Bilbilaa 1.800.940.5049 (TTY: 763.847.4013). CHÚ Ý: Nếu ban nói Tiếng Việt, có các dịch vụ hỗ trợ ngôn ngữ miễn phí dành cho ban. Goi số 1.800.940,5049 (TTY: 763.847.4013). 注意:如果您使用繁體中文,您可以免費獲得語言援助服務。請致電 1.800.940.5049 (TTY: 763.847.4013)。 ВНИМАНИЕ: Если вы говорите на русском языке, то вам доступны бесплатные услуги перевода. Звоните 1.800.940.5049 (телетайп: 763.847.4013). ໂປດຊາບ: ຖ້າວ່າ ທ່ານເວົ້າພາສາ ລາວ, ການບໍລິການຊ່ວຍເຫຼືອດ້ານພາສາ, ໂດຍບໍ່ເສັຽຄ່າ, ແມ່ນມີພ້ອມໃຫ້ທ່ານ. ໂທຣ 1.800.940.5049 (TTY: 763.847.4013). ማስታወሻ: የሚናንሩት ቋንቋ አማርኛ ከሆነ የትርጉም እርዳታ ድርጅቶች፣ በነጻ ሊያግዝዎት ተዘጋጀተዋል፡ ወደ ሚከተለው ቁጥር ይደውሉ 1.800.940.5049 (መስጣት ለተሳናቸው: 763 847 4013). ဟ်သူဉ်ဟ်သး– နမ့်၊ကတိ၊ ကညီ ကျိဉ်အယိ, နမၤန္ခ၊ ကျိဉ်အတါမၢစၢးလ၊ တလက်ဘူဉ်လက်စ္၊ နီတမံးဘဉ်သူနှဉ်လီး. ကိး 1.800.940.5049 (TTY: 763.847.4013). ACHTUNG: Wenn Sie Deutsch sprechen, stehen Ihnen kostenlos sprachliche Hilfsdienstleistungen zur Verfügung. Rufnummer: 1.800.940.5049 (TTY: 763.847.4013) ប្រយ័ត្ន៖ បើសិនជាអ្នកនិយាយ ភាសាខ្មែរ, សេវាជំនួយផ្នែកភាសា ដោយមិនកិតឈូល គឺអាចមានសំរាប់បំរើអ្នក។ ចូរ ទូរស័ព្ទ 1.800.940.5049 (TTY: 763.847.4013).។ ملحوظة: إذا كنت تتحدث اذكر اللغة، فإن خدمات المساعدة اللغوية تتوافر لك بالمجان. اتصل برقم 1.800.940.504 (رقم هاتف الصم والبكم: 763.847.4013). ATTENTION : Si vous parlez français, des services d'aide linguistique vous sont proposés gratuitement. Appelez le 1.800.940.5049 (TTY: 763.847.4013). 주의: 한국어를 사용하시는 경우, 언어 지원 서비스를 무료로 이용하실 수 있습니다. 1,800,940,5049 (TTY: 763,847,4013), 번으로 전화해 주십시오. PAUNAWA: Kung nagsasalita ka ng Tagalog, maaari kang gumamit ng mga serbisyo ng tulong sa wika nang walang bayad. Tumawag sa 1.800.940.5049 (TTY: 763.847.4013).

PreferredOne Insurance Company Nondiscrimination Notice

PreferredOne Insurance Company ("PIC") complies with applicable Federal civil rights laws and does not discriminate on the basis of race, color, national origin, age, disability, or sex. PIC does not exclude people or treat them differently because of race, color, national origin, age, disability, or sex.

PIC:

Provides free aids and services to people with disabilities to communicate effectively with us, such as:

- Qualified sign language interpreters
- Written information in other formats (large print, audio, accessible electronic formats, other formats)

Provides free language services to people whose primary language is not English, such as:

- Qualified interpreters
- Information written in other languages

If you need these services, contact a Grievance Specialist.

If you believe that PIC has failed to provide these services or discriminated in another way on the basis of race, color, national origin, age, disability, or sex, you can file a grievance with:

Grievance Specialist PreferredOne Insurance Company PO Box 59212 Minneapolis, MN 55459-0212 Phone: 1.800.940.5049 (TTY: 763.847.4013) Fax: 763.847.4010 customerservice@preferredone.com

You can file a grievance in person or by mail, fax, or email. If you need help filing a grievance, a Grievance Specialist is available to help you.

You can also file a civil rights complaint with the U.S. Department of Health and Human Services, Office for Civil Rights, electronically through the Office for Civil Rights Complaint Portal, available at <u>https://ocrportal.hhs.gov/ocr/portal/lobby.jsf</u>, or by mail or phone at:

U.S. Department of Health and Human Services 200 Independence Avenue, SW Room 509F, HHH Building Washington, D.C. 20201 1-800-368-1019, 800-537-7697 (TDD)

Complaint forms are available at http://www.hhs.gov/ocr/office/file/index.html.

Language Assistance Services

ATTENTION: If you do not speak English, language assistance services, free of charge, are available to you. Call 1.800.940.5049 (TTY: 763.847.4013). ATENCIÓN: si habla español, tiene a su disposición servicios gratuitos de asistencia lingüística. Llame al 1.800.940.5049 (TTY: 763.847.4013) LUS CEEV: Yog tias koj hais lus Hmoob, cov kev pab txog lus, muaj kev pab dawb rau koj. Hu rau 1.800.940.5049 (TTY: 763.847.4013). XIYYEEFFANNAA: Afaan dubbattu Oroomiffa, tajaajila gargaarsa afaanii, kanfaltiidhaan ala, ni argama. Bilbilaa 1.800.940.5049 (TTY: 763.847.4013). CHÚ Ý: Nếu ban nói Tiếng Việt, có các dịch vụ hỗ trợ ngôn ngữ miễn phí dành cho ban. Goi số 1.800.940,5049 (TTY: 763.847.4013). 注意:如果您使用繁體中文,您可以免費獲得語言援助服務。請致電 1.800.940.5049 (TTY: 763.847.4013)。 ВНИМАНИЕ: Если вы говорите на русском языке, то вам доступны бесплатные услуги перевода. Звоните 1.800.940.5049 (телетайп: 763.847.4013). ໂປດຊາບ: ຖ້າວ່າ ທ່ານເວົ້າພາສາ ລາວ, ການບໍລິການຊ່ວຍເຫຼືອດ້ານພາສາ, ໂດຍບໍ່ເສັຽຄ່າ, ແມ່ນມີພ້ອມໃຫ້ທ່ານ. ໂທຣ 1.800.940.5049 (TTY: 763.847.4013). ማስታወሻ: የሚናንሩት ቋንቋ አማርኛ ከሆነ የትርጉም እርዳታ ድርጅቶች፣ በነጻ ሊያግዝዎት ተዘጋጀተዋል፡ ወደ ሚከተለው ቁጥር ይደውሉ 1.800.940.5049 (መስጣት ለተሳናቸው: 763 847 4013). ဟ်သူဉ်ဟ်သး– နမ့်၊ကတိ၊ ကညီ ကျိဉ်အယိ, နမၤန္ခ၊ ကျိဉ်အတါမၢစၢးလ၊ တလက်ဘူဉ်လက်စ္၊ နီတမံးဘဉ်သူနှဉ်လီး. ကိး 1.800.940.5049 (TTY: 763.847.4013). ACHTUNG: Wenn Sie Deutsch sprechen, stehen Ihnen kostenlos sprachliche Hilfsdienstleistungen zur Verfügung. Rufnummer: 1.800.940.5049 (TTY: 763.847.4013) ប្រយ័ត្ន៖ បើសិនជាអ្នកនិយាយ ភាសាខ្មែរ, សេវាជំនួយផ្នែកភាសា ដោយមិនកិតឈូល គឺអាចមានសំរាប់បំរើអ្នក។ ចូរ ទូរស័ព្ទ 1.800.940.5049 (TTY: 763.847.4013).។ ملحوظة: إذا كنت تتحدث اذكر اللغة، فإن خدمات المساعدة اللغوية تتوافر لك بالمجان. اتصل برقم 1.800.940.504 (رقم هاتف الصم والبكم: 763.847.4013). ATTENTION : Si vous parlez français, des services d'aide linguistique vous sont proposés gratuitement. Appelez le 1.800.940.5049 (TTY: 763.847.4013). 주의: 한국어를 사용하시는 경우, 언어 지원 서비스를 무료로 이용하실 수 있습니다. 1,800,940,5049 (TTY: 763,847,4013), 번으로 전화해 주십시오. PAUNAWA: Kung nagsasalita ka ng Tagalog, maaari kang gumamit ng mga serbisyo ng tulong sa wika nang walang bayad. Tumawag sa 1.800.940.5049 (TTY: 763.847.4013).